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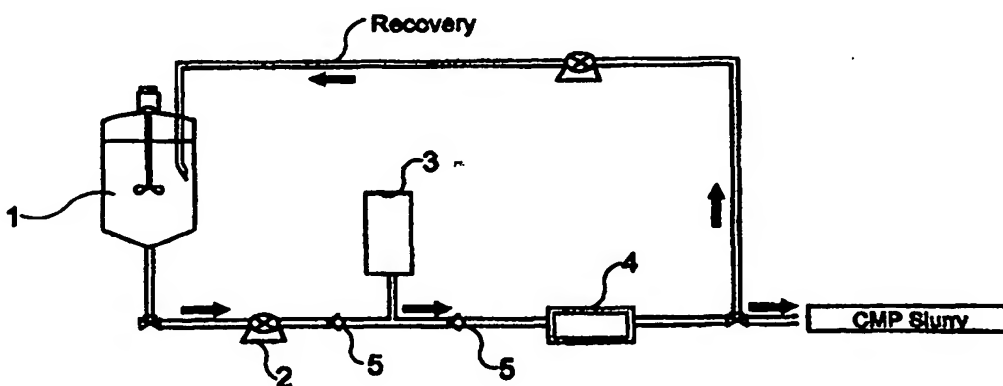
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(54) Title: PROCESS FOR PREPARING METAL OXIDE SLURRY SUITABLE FOR SEMICONDUCTOR CHEMICAL MECHANICAL POLISHING



(57) Abstract

There is disclosed a process for preparing a metal oxide CMP slurry suitable for semiconductor devices, wherein a mixture comprising 1 to 50 weight % of a metal oxide and 50 to 99 weight % of water is mixed in a pre-mixing tank, transferred to a dispersion chamber with the aid of a transfer pump, allowed to have a flow rate of not less than 100 m/sec by pressurization with a high pressure pump, and subjected to counter collision for dispersion through two orifices in the dispersion chamber. The slurry has particles which are narrow in particle size distribution, showing an ultrafine size ranging from 30 to 500 nm. Also, the slurry is not polluted at all during its preparation and shows no tailing phenomena, so that it is preventive of  $\mu$ -scratching. Therefore, it can be used in the planarization for shallow trench isolation, interlayer dielectrics and intermetal dielectrics through a CMP process.

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PROCESS FOR PREPARING METAL OXIDE SLURRY SUITABLE FOR  
SEMICONDUCTOR CHEMICAL MECHANICAL POLISHING

BACKGROUND OF THE INVENTION

5

Field of the invention

The present invention relates, in general, to a process for preparing a metal oxide slurry useful in semiconductor chemical mechanical polishing (CMP) and, more particularly, to use of the counter collision of a metal oxide slurry by injecting it from two  
10 orifices at a high speed, whereby the metal oxide slurry can be allowed to be narrow in particle size distribution and superior in dispersion stability and polishing rate in addition to showing an exceptionally decreased  $\mu$ -scratch frequency.

15

Description of the Prior Art

A CMP process, a kind of lithography, is utilized in fabricating semiconductors. As semiconductors become miniaturized with denser populations to more complex multilayer  
20 structures, planarization, which can be attained by the CMP process, is indispensable for the high integration of semiconductors.

To be useful in the CMP process, generally, metal oxide slurry is required to show excellent dispersibility and

polishing rate as well as to leave as few defects, such as  $\mu$ -scratches, after polishing, as possible, in addition to being high in purity.

5 All of these requirements, except for purity, are closely concerned with the particle size and distribution of the metal oxide. In regard to the particle size, smaller particles are preferable because they show better dispersion stability and provide fewer  $\mu$ -scratches, but are disadvantageous in polishing efficiency because the smaller the particles are, the slower the  
10 polishing rate is. In an aspect of particle size distribution, of course, the particles are preferably distributed in a narrow size range. In other words, the particles more uniform in size bring about better polishing results. For example, when using slurry with a broad range of particle sizes, the abraded surface  
15 is poor in flatness and has a significant amount of  $\mu$ -scratches thereon.

Thus, when selecting the particle size and size distribution of slurry for CMP, account must be taken of polishing rate, dispersion stability and  $\mu$ -scratch frequency.

20 U.S. Pat. No. 5,382,272 discloses a preparation process of polishing compositions which exhibit high polishing rates. The compositions are based on  $\text{SiO}_2$  and used to polish Si wafers. The compositions are prepared by blending silica and deionized water

in a high speed mixer and agitating them in an agitator mill which contains a mill medium (bead). It is written that the base abrasive is activated by addition of a second cation, such as  $Ce^{4+}$  and  $Zr^{4+}$ , so that the polishing rate is enhanced. The process disclosed in this patent suffers from disadvantages. The beads are inevitably polluted during the dispersion which is accomplished by the collision between the abrasive and the bead.

In addition, a tailing phenomenon occurs, making it difficult to produce a slurry whose particles are in a narrow size distribution. Further, the beads are etched during milling, so that their dispersing ability is decreased. In fact, because the slurries produced are significantly different from each other in particle size and size distribution, a constant polishing ability cannot be expected from the slurries.

In another known preparation process, a fluid is rotated at a high speed by a rotor sold by IKA, Germany and collided with a stator. This technique, even if enhanced relative to the process of U.S. Pat. No. 5,382,272, has a problem in that the stator is etched as a result of the wall collision so that a significant decrease in dispersion capability results.

These conventional techniques all are known to produce particles which have a size of  $1\text{ }\mu\text{m}$ . They are too large to be used in CMP. In particular, they cannot be used as a CMP slurry for

shallow trench isolation because  $\mu$ -scratches, if occurring during the isolation process, cause fatal damages to the function and yield of semiconductor devices.

Another technique relating to a CMP slurry is disclosed in  
5 WO Pat. No. 9 747 430. With use in polishing Si wafers, the slurry composition of this patent contains  $\text{SiO}_2$  as an abrasive, monoethanol amine as a pH-adjusting agent, and additives such as  $\text{NH}_4^+$ ,  $\text{Cs}^+$  and  $\text{Ba}^{3+}$ . The pH-adjusting agent excludes the plausible possibility that conventional pH-adjusting agents, such as KOH  
10 or  $\text{NH}_4\text{OH}$ , may diffuse into wafers during a polishing procedure and act as a pollutant. However, this slurry exhibits a relatively slow polishing rate ranging from 1,500 to 2,500 Å/min. In addition, nowhere is mentioned a dispersion process for CMP slurry.

15 U.S. Pat. No. 5,342,609 described a method and apparatus for forming emulsions, in which collisions between oils, cavitation, and shearing stresses are utilized, in combination. The apparatus, called a microfluidizer, is known to be applied for various purposes and superior in emulsification. However, it  
20 has not yet been applied for the dispersion of particles, such as metal oxides.

An example of the prior art using the microfluidizer is found in U.S. Pat. No. 5,342,609. According to the patent, a particle mixture comprising calcium and oxianions is dispersed

in a microfluidizer, so as to produce a composition with a particle size of 5 nm. However, this composition is used for diagnostic purposes, such as MRI, X-ray and ultrasound, but not for semiconductor polishing.

5

#### SUMMARY OF THE INVENTION

Therefore, it is an object of the present invention to overcome the problems encountered in prior arts and to provide a process for preparing a metal oxide slurry useful in semiconductor chemical mechanical polishing (CMP), whereby the metal oxide slurry can be allowed to be narrow in particle size distribution and superior in dispersion stability and polishing rate in addition to showing an exceptionally decreased  $\mu$ -scratch frequency.

15 In accordance with the present invention, the above object could be accomplished by a provision of a process for preparing a metal oxide CMP slurry suitable for semiconductor devices, wherein a mixture comprising 1 to 50 weight % of a metal oxide and 50 to 99 weight % of water is mixed in a pre-mixing tank, 20 transferred to a dispersion chamber with the aid of a transfer pump, allowed to have a flow rate of not less than 100 m/sec by pressurization at 50 atm with a high pressure pump, and subjected to counter collision for dispersion through two orifices in the dispersion chamber.

## BRIEF DESCRIPTION OF THE DRAWINGS

The above and other objects and aspects of the invention will become apparent from the following description of  
5 embodiments with reference to the accompanying drawings in which:

Fig. 1 is a schematic view showing a dispersion process of metal oxide slurries, according to the present invention; and

Fig. 2 is a conceptional view showing the fluids are counter  
10 collided with each other via two orifices in a dispersion chamber.

## DETAILED DESCRIPTION OF THE INVENTION

15 The application of the preferred embodiments of the present invention is best understood with reference to the accompanying drawings.

Fig. 1 is a schematic process view showing the dispersion of CMP slurry according to the present invention. As shown in this  
20 figure, after being homogeneously mixed with water in a pre-mixing tank 1, metal oxide slurry is introduced to a line connected with a high pressure pump 3 with the aid of a transfer pump 2. With a flow speed of not less than 100 m/sec by the accelerating action of the high pressure pump 3, the slurry is



injected through two orifices into a dispersion chamber 4 in which the slurry is dispersed as a result of the complex occurrence of counter collisions, such as wall collision of fluid, cavitation, and shearing force. In the process of the present invention, it is designed that the particles which remain larger than 500 nm in diameter after the dispersion is done by the collision should be recovered by a recovery means to stabilize the final slurry. Check valves 5 are provided in front of and at the back of the high pressure pump 3, so as to prevent the slurry from flowing backward.

In general, the dispersion of metal oxides is dependent on their surface area. As their surface area is larger, the metal oxides are better dispersed. Available in the present invention are any metal oxides that have a surface area from 20 to 300 m<sup>2</sup>/g when being oxidized at a temperature of 1,000 °C or higher. Preferable is one which is selected from the group consisting of SiO<sub>2</sub>, CeO<sub>2</sub>, ZrO<sub>2</sub> or the mixtures thereof.

The selected metal oxide is mixed with water in the pre-mixing tank in such a way that the resulting metal slurry has a solid content of 1 to 50 weight % and preferably 5 to 30 weight %.

If the premixed slurry has a solid content less than 1 weight %, a satisfactory dispersion effect cannot be attained. On the other hand, a solid content more than 50 weight % causes a thixotropic phenomenon, resulting in an extreme increase of

viscosity.

Before being used in CMP process, this slurry is diluted.

For example, it is advantageous in polishing capacity and material cost that the solid content in the diluted metal oxide  
5 slurry to be used in a CMP process is controlled into a range of from 10 to 14 weight % for  $\text{SiO}_2$ , from 1 to 5 for  $\text{CeO}_2$  and from 4 to 8 weight % for  $\text{ZrO}_2$ .

In the present invention, the dispersion degree of the metal oxides is proportional to the accelerated fluid's flow rate which  
10 is, in turn, proportional to the pressure of the high pressure pump at an orifice diameter. Hence, metal oxide slurries with various particle size distributions can be obtained simply by controlling the pressure of the high pressure pump.

In accordance with the present invention, the fluid  
15 accelerated by the pressurization of the high pressure pump 3 has a flow rate higher than 100 m/sec and preferably 350 m/sec.

To accomplish this flow rate, the high pressure pump 3 is required to pressurize at 50 atm for the flow rate of 100 m/sec and at 500 atm for the flow rate of 350 m/sec. Thus, any pressure  
20 pump that has a pressure capacity of 50 atm or higher can be used in the present invention.

Via the two orifices 6 which are provided in the dispersion chamber 4, as mentioned above, the fluid accelerated by the high pressure pump is introduced in the dispersion chamber 4 in which

the fluid undergoes complex counter collision, such as wall collision and cavitation, to form ultrafine particles. The orifices are made of engineering plastics, glass-reinforced plastics, carbon steel, stainless steel (SUS), ceramic, or diamond with preference to ceramic or diamond in an aspect of durability. However, these examples are only illustrative, but not limitative of the present invention.

In consideration of the compatibility with the high pressure pump and the dispersion efficiency of the slurry, the orifices 6 have a diameter of 0.05 to 0.5 mm and preferably 0.1 to 0.3 mm. For example, if the orifices 6 are below 0.05 mm in diameter, the metal oxide slurry is well dispersed by virtue of the increased acceleration effect under a pressure condition, but a poor result is brought into productivity by decreased throughput per time. On the other hand, if the orifices have a diameter larger than 0.5 mm, productivity is increased, but it is economically unfavorable because there is needed a high pressure pump which has a capacity sufficient to maintain the required flow rate.

As shown in Fig. 2, the orifices have a tubular form and are designed to have an outlet diameter ( $l_1$ ) smaller than an inlet diameter ( $l_2$ ), so as to improve the acceleration effect under a pressure condition. When the outlet diameter ( $l_1$ ) is reduced to half of the inlet diameter ( $l_2$ ), the flow rate is increased four

times. Mathematically, the production of slurry per time is proportional to the square of the outlet diameter of the orifice and to the square root of the pressure applied. When designing the dispersion process system, the diameters of the orifices and the pressure capacity of the high pressure pump can be determined by taking the treatment rate of the slurry into account.

As for the dispersion degree (ultrafining) of the metal oxides, it is in proportion to the pressure of the high pressure pump 3 and the number of counter collisions. In other words, as the pressure increases, the particles are smaller while as the collision number increases, the particles size distribution is narrower and more uniform.

For  $\text{SiO}_2$  slurry, which is the most widely used for CMP process, for example, when one counter collision is carried out once at a flow rate of 350 m/sec via two orifices with a diameter of 0.2 mm by a force of 500 atm, there can be obtained particles with an average size from 140 to 150 nm, which are suitable for CMP. Of course, pressurization at higher than 500 atm produces smaller particles, making the particle size distribution narrower. However, the slurry obtained at higher than 500 atm shows the same polishing effects such as in polishing rate and  $\mu$ -scratch frequency, as those of the slurry obtained at 500 atm.

Thus, it is advantageous in energy efficiency to select as low a pressure as possible if there are no differences in polishing

results. On the other hand, the slurry prepared under a pressure lower than 300 atm is as high in polishing rate as, but produces more  $\mu$ -scratches than that prepared at 500 atm.

The following examples are set forth to illustrate more clearly the principles and practice of this invention to one skilled in the art. As such, they are not intended to limit the invention, but are illustrative of certain preferred embodiments.

#### EXAMPLE I

130 g of silica with a surface area of 200 m<sup>2</sup>/g, such as that commercially available from Degussa, identified as "Aerosil 200", 18 g of a 20% KOH solution, and 860 g of deionized water, were mixed in a teflon-coated premixing tank with a volume of 1 m<sup>3</sup> and transferred by a transfer pump (diaphragm 1-50 atm) to a dispersion chamber in which the mixture is subjected to counter collision through two orifices with an inlet diameter of 0.4 mm and an outlet diameter of 0.2 mm by a force of 500 atm with the aid of a high pressure pump (Intensifier pump, 50-1,500 atm), so as to give CMP slurry. The sample from the dispersion chamber was measured for particle size, particle size distribution and average particle size by use of a size analyzer, such as that sold by Malvern under the brand name of "Zetasizer". The results are given in Table 1, below.

## EXAMPLES II TO VI

The same procedure as that of Example I was repeated, except for using the pressure of the high pressure pump according to the indication of Table 1, below. The results are given in Table 1.

5

## EXAMPLE VII

The same procedure as that of Example I was repeated, except for using ceria ( $\text{CeO}_2$ , surface area of  $30 \text{ m}^2/\text{g}$ ) instead of silica. The results are given in Table 1, below.

10

## EXAMPLE VIII

The same procedure as that of Example I was repeated, except for using zirconia ( $\text{ZrO}_2$ , surface area  $30 \text{ m}^2/\text{g}$ ) instead of silica. The results are given in Table 1, below.

15

## EXAMPLES IX TO XIII

The same procedure as that of Example I was repeated, except that the pressures of the high pressure pump and the number of the counter collisions were taken as indicated in Table 1, below.

20 The results are given in Table 1.

## EXAMPLE XIV

The same procedure as that of Example I was repeated, except that a 20% KOH solution was not used.

Table 1

No. of Examp.	Metal Oxide	Press. (atm)	No. of Collision	Solid Content (%)	pH	Particle Distribution (nm)	Avg. Particle Size (nm)
I	SiO <sub>2</sub>	500	1	13	10.7	40~390	150
II	SiO <sub>2</sub>	300	1	13	10.9	50~520	170
III	SiO <sub>2</sub>	800	1	13	10.7	30~370	150
IV	SiO <sub>2</sub>	1000	1	13	10.7	30~350	145
V	SiO <sub>2</sub>	1200	1	13	10.7	30~350	145
VI	SiO <sub>2</sub>	1500	1	13	10.6	30~320	130
VII	CeO <sub>2</sub>	500	1	13	6.8	40~550	178
VIII	ZrO <sub>2</sub>	500	1	13	7.3	40~500	180
IX	SiO <sub>2</sub>	500	2	13	10.8	30~350	143
X	SiO <sub>2</sub>	500	5	13	10.6	30~280	135
XI	SiO <sub>2</sub>	500	10	13	10.5	30~250	120
XII	SiO <sub>2</sub>	1200	5	13	10.5	30~300	125
XIII	SiO <sub>2</sub>	2500	10	13	10.5	30~250	110
XIV	SiO <sub>2</sub>	500	1	13	4.5	40~390	153
XV	SiO <sub>2</sub>	500	1	18	10.5	30~370	148
XVI	SiO <sub>2</sub>	500	1	25	10.5	30~360	145
XVII	SiO <sub>2</sub>	500	1	30	10.5	30~340	143

## COMPARATIVE EXAMPLES I TO IX

130 g of commercially available silica ( $\text{SiO}_2$ , surface area  $200 \text{ m}^2/\text{g}$ ), 18 g of 20% KOH solution, and 860 g of deionized water were added, together with 300 g of glass beads with a size of 2 mm, in a 2 liter Dynomill and dispersion was carried out under the dispersion speed and time conditions indicated in Table 2, below. The results are given in Table 2.

10

## COMPARATIVE EXAMPLE X

The same procedure as that of Comparative Example I was repeated, except that ceria ( $\text{CeO}_2$ , surface area  $30 \text{ m}^2/\text{g}$ ), instead of silica, was used in the absence of 20% KOH solution. The results are given in Table 2, below.

15

## COMPARATIVE EXAMPLE XI

The same procedure as that of Comparative Example I was repeated, except for using zirconia ( $\text{ZrO}_2$ , surface area  $30 \text{ m}^2/\text{g}$ ) instead of silica. The results are given in Table 2, below.

20



TABLE 2

No. of Comp. Examp.	Metal Oxide	Dispersion rate (rpm)	Dispersion Time (hr)	pH	Particle Size Distribution (nm)	Avg. Particle Size (nm)
I	SiO <sub>2</sub>	1000	1	10.9	50~1200	456
II	SiO <sub>2</sub>	1500	1	10.9	50~1200	450
III	SiO <sub>2</sub>	2000	1	10.9	50~1100	450
IV	SiO <sub>2</sub>	2500	1	10.8	50~950	430
V	SiO <sub>2</sub>	3000	1	10.7	50~800	420
VI	SiO <sub>2</sub>	2000	2	10.8	50~1100	420
VII	SiO <sub>2</sub>	2000	5	10.9	50~1100	400
VIII	SiO <sub>2</sub>	3000	2	10.7	50~750	370
IX	SiO <sub>2</sub>	3000	5	10.7	50~750	350
X	CeO <sub>2</sub>	2000	1	7.3	70~1300	570
XI	ZrO <sub>2</sub>	2000	1	6.7	80~1550	680

## TEST EXAMPLE

- 5 The slurries obtained in Examples I, II, VII, VIII and Comparative Examples I, X and XI were tested for assessment of polishing performance. p-TEOS coated bare wafers 6 inches thick were polished with the slurries in a Strabaugh Model 6 EC polishing machine under the following abrasion conditions.

10

-Pad type: IC1000/Suba IV Stacked (Rodel)

-Platen Speed : 120 rpm

- Quill Speed : 120 rpm
- Pressure : 6 psi
- Back Pressure : 0 psi
- Temperature : 25 °C
- 5      -Slurry Flow : 150 ml/min

Polishing was performed for 2 min. The polishing rates were measured from the thickness change of the wafers. As for  $\mu$ -scratch, it was detected with the aid of a Tencor model KLA machine.

10 For comparison, "SS-25", a slurry sold by Cabot, was used as a control.

TABLE 3  
Polishing Ability of Slurry

Slurries	Particle Size Distribution (nm) (Avg.)	Polishing Performance	
		Polishing Rate (Å/min)	Nos. of $\mu$ -scratches
Example I	40-390 (150)	3550	0
Example II	50-520 (170)	3570	12
Example VII	40-520 (178)	7210	50
Example VIII	40-500 (180)	4830	42
C. Example I	50-1200 (456)	3500	158
C. Example X	70-1300 (570)	7210	290
C. Example XI	80-1550 (680)	6230	170
SS-25	30-390 (160)	3430	123

As described hereinbefore, the CMP slurry of the present invention, which is prepared by a dispersion process in which a fluid is subjected to counter collision and cavitation, takes advantages over the slurries prepared by conventional dispersion processes comprising bead use or wall collision only, in that the particles of the slurry of the present invention are narrower in particle size distribution, showing an ultrafine size ranging from 30 to 500 nm. In addition, the slurry of the present invention is little or not polluted at all during its preparation and shows no tailing phenomena, so that it is preventive of  $\mu$ -scratching. Further, the process according to the present invention can be carried out by a simple operation. What is more, the dispersion degree of the slurry is patterned on the pressure and collision number, so that the slurry can be reproduced at a high efficiency. An additional advantage of the process of the present invention resides in the ability to produce slurries in a continuous type and thus, at high productivity.

Although the invention has been described in detail by referring to certain preferred embodiments, it will be understood that various modifications can be made within the spirit and scope of the invention. The invention is not to be limited except as set forth in the following claims.

## WHAT IS CLAIMED IS:

1. A process for preparing a metal oxide CMP slurry suitable for semiconductor devices, wherein a mixture comprising 1 to 50 weight % of a metal oxide and 50 to 99 weight % of water is mixed in a pre-mixing tank, transferred to a dispersion chamber with the aid of a transfer pump, allowed to have a flow rate of not less than 100 m/sec by pressurization with a high pressure pump, and subjected to counter collision for dispersion through two orifices in the dispersion chamber.

2. A process as set forth in claim 1, wherein the metal oxide is selected from the group consisting of silica ( $\text{SiO}_2$ ), ceria ( $\text{CeO}_2$ ), zirconia ( $\text{ZrO}_2$ ) and the mixtures thereof.

15

3. A process as set forth in claim 1, wherein the mixture is allowed to have a flow rate of 300 m/sec or greater by the pressurization with the high pressure pump.

4. A process as set forth in claim 1, wherein the orifices have a diameter ranging from 0.05 to 0.5 mm.

20

5. A process as set forth in claim 1, wherein the slurry ranges, in particle size, from 30 to 500 nm.

Fig. 1

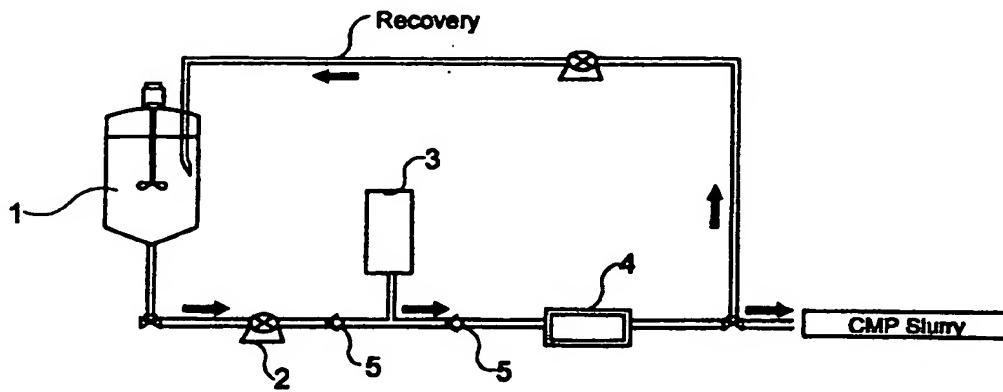
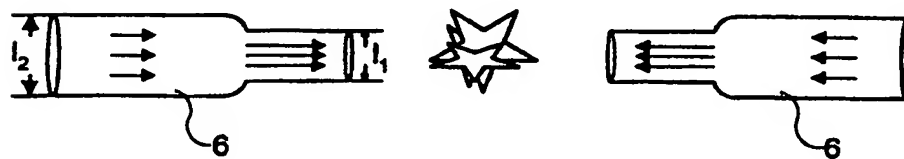


Fig. 2



# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/KR 99/00123

## A. CLASSIFICATION OF SUBJECT MATTER

IPC<sup>7</sup>: C 09 G 1/02

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC<sup>7</sup>: C 09 G; B 24 D

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

Questel WPI

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5 575 837 A (KODAMA et al.), 19 November 1996 (19.11.96), claims; examples.	1
A	US 4 057 939 A (BASI), 15 November 1977 (15.11.77), claims.	1
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☐ Further documents are listed in the continuation of Box C.

☒ See patent family annex.

\* Special categories of cited documents:

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Date of the actual completion of the international search

20 September 1999 (20.09.99)

Date of mailing of the international search report

28 September 1999 (28.09.99)

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International application No.  
PCT/KR 99/00123

US 4 057 939 A describes a polishing mixture for polishing semiconductor substrate surfaces consisting of an aqueous mixture of abrasive particles (I), a soluble alkali metal base (II) and a salt of a halogen derivative of isocyanuric acid as oxidant (III). Pref. (I) is  $\text{CeO}_2$ ,  $\text{Al}_2\text{O}_3$ ,  $\text{ZrO}_2$ ,  $\text{SiO}_2$  etc., esp.  $\text{SiO}_2$  solutions or gels with particle sizes of 5-200 m $\mu$ . (II) is a carbonate, esp.  $\text{Na}_2\text{CO}_3$ , and (III) Na or K dihalo, esp. dichloro-isocyanurate. The (II) : (III) ratio is 0.5-3.2:1).

US 5 575 837 A describes a polishing composition comprising a slurry of silica particles in water where the silica particles are present in the slurry in a size and amount sufficient to render the composition effective in removing a metal from the surface of an integrated circuit by polishing, the improvement where the composition consists essentially of water, a sol or gel of silica and a persulfate compound as a polishing accelerator, the persulfate compound of the composition in removing the metal by polishing without formation of orange peel on the surface of the integrated circuit.

INTERNATIONAL SEARCH REPORT  
Information on patent family members

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